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**AGENDA ITEM REQUEST FORM**

**Hays County Commissioners Court**

Tuesdays at 9:00 AM

Request forms are due in Microsoft Word Format via email by 2:00 p.m. on Wednesday.

**AGENDA ITEM**

Discussion and possible action to approve payment to TxDot for cost overruns associated with the 2010 Cement Plant Road project.

ITEM TYPE	MEETING DATE	AMOUNT REQUIRED
ACTION-MISCELLANEOUS	March 19, 2013	\$3,756.24

**LINE ITEM NUMBER**  
TBD-'01 Road Bond Interest

**AUDITOR USE ONLY**

**AUDITOR COMMENTS:**

**PURCHASING GUIDELINES FOLLOWED:** N/A                      **AUDITOR REVIEW:** BILL HERZOG

REQUESTED BY	SPONSOR	CO-SPONSOR
Jerry Borcharding	COBB	N/A

**SUMMARY**  
The County has received a request for payment from TxDot for cost overruns on a 2010 ARRA funded project. These funds were administered and the project let through TxDot. The costs are for mowing, trash collection and grass seeding in the right of way area. Per the AFA, the County is responsible for any cost overruns. Funds are available in the '01 Road Bond interest to cover this request.

FILED: **03 26 13**  
HAYS COUNTY COMMISSIONERS' COURT  
Resolution # 28891 VOL V PG 122



# Texas Department of Transportation

November 30, 2012

Honorable Bert Cobb, M.D.  
Hays County Judge  
111 E. San Antonio Street, Suite 30  
San Marcos, Texas 78666

RE: Project: STP 2010 (314) ES  
CSJ: 0016-02-120  
Highway: IH 35 @ Cement Plant Rd  
County: Hays

Dear Judge Cobb:

A request for additional funds was made on September 27, 2012 to Hays County in accordance with the terms of the agreement negotiated with the State. Hays County is to cover cost that exceeds the obligated American Recovery and Reinvestment Act of 2009 (ARRA) funds (\$5,796,648.48). Final auditing by this office revealed additional funds due to TxDOT.

Hays County's final statement of cost on this project amounted to \$5,800,404.72 leaving a deficit of \$3,756.24. As of today, these funds are still uncollected. We are requesting a warrant be issued to the Texas Department of Transportation in the amount of \$3,756.24 and forwarded to our office within thirty days from receipt of this letter.

Your immediate attention will be appreciated. If you have any additional questions, please contact this office at 512-832-7111.

Sincerely

Timothy J. Weight, P.E.  
Director of Construction  
Austin District

Attachments

RECEIVED  
11-30-12



# Texas Department of Transportation

September 27, 2012

Honorable Bert Cobb, M.D.  
Hays County Judge  
111 E. San Antonio Street, Suite 30  
San Marcos, Texas 78666

RE: Project: STP 2010 (314) ES  
CSJ: 0016-02-120  
Highway: IH 35 @ Cement Plant Rd  
County: Hays

Dear Judge Cobb:

The above captioned project has been completed and accepted by the State. In accordance with the terms of the agreement entered into the State and Hays County, Hays County is to cover cost that exceeds the obligated American Recovery and Reinvestment Act of 2009 (ARRA) funds (\$5,796,648.48). Final auditing by this office revealed additional funds due to TxDOT.

Attached is your final Statement of Cost amounting to \$5,800,404.72 leaving a deficit of \$3,756.24. Please remit a check made payable to the Texas Department of Transportation in the amount of \$3,756.24 within thirty days from receipt of this letter.

If you should have any questions concerning this matter, please contact me at 512-832-7111.

Sincerely,

Tracy Cooper  
Construction Administrator  
Austin District

Attachment

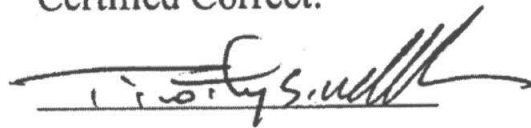
Final Statement of Cost

Project: STP 2010(314)ES  
CSJ: 0016-02-120  
IH 35 @ Cement Plant Road

Hays County

Contract Construction Items (100 % County)	\$5,800,404.72
Total Project Cost (Hays County)	\$5,800,404.72
AARA Funds	<u>\$5,796,648.48</u>
Additional Funds Due TxDOT	\$ 3,756.24

Certified Correct:



A handwritten signature in black ink, appearing to read "C. S. Smith", is written over a horizontal line.

## Vickie Wilhelm

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**From:** Jerry Borcharding  
**Sent:** Friday, March 08, 2013 2:34 PM  
**To:** Vickie Wilhelm  
**Subject:** RE: TxDOT request for \$3,756.24 IH 35 @ Cement Plant Rd.

**Yes, but I hope it can come out of the bond or from bond interest.**

Thank you,

**Jerry H. Borcharding, P.E.**  
**Director of Transportation**  
**Hays County**  
**512-738-2080**

**From:** Vickie Wilhelm  
**Sent:** Monday, March 04, 2013 3:21 PM  
**To:** Jerry Borcharding  
**Subject:** RE: TxDOT request for \$3,756.24 IH 35 @ Cement Plant Rd.

Jerry – did you authorize payment for this?

**From:** Michael Aulick [<mailto:michael.aulick@gmail.com>]  
**Sent:** Friday, February 01, 2013 1:15 PM  
**To:** Jerry Borcharding  
**Cc:** Vickie Wilhelm; Don Nyland  
**Subject:** TxDOT request for \$3,756.24 IH 35 @ Cement Plant Rd.

Jerry,

I talked with Don Nyland and he filled me in on this request for the County to pay TxDOT this amount relative to the 2009 ARRA grant.

There are two parts to the \$3,756.24 amount:

1. A portion is for mowing and trash collection which are not an allowable, reimbursable federal expense.
2. A portion is for a small cost overrun on grass seeding in the right-of-way

I recommend that the County pay TxDOT the amount requested in the November 30 letter.

Please let me know if you have any questions.

Michael

--

Michael R. Aulick  
LEED Green Associate  
Aulick and Associates  
[512-750-3179](tel:512-750-3179)



# MEMORANDUM

**TO:** Patricia L. Crews-Weight, P.E.  
Austin District

September 24, 2009

**FROM:** Hector Vidaurri *Hector Vidaurri*  
Contract Services

**SUBJECT:** Executed AFA – Cement Plant Road Overpass  
CS 09 -1489 / CSJ# 0016-02-120 / County of Hays

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Attached is:

- Fully executed original or amendment contract(s) [ 1 ]
- Fully executed copy of original or amendment contract(s) [ ]
- Other:

Please keep a copy of the fully executed counterpart in your district/division file of record. Return the original to the outside entity.

If you have any questions, please contact Hector Vidaurri at (512) 374-5152.

Thank You

STATE OF TEXAS       §  
COUNTY OF TRAVIS   §

**LOCAL TRANSPORTATION PROJECT  
ADVANCE FUNDING AGREEMENT  
For An  
American Recovery and Reinvestment Act of 2009  
Project**

 ORIGINAL

**THIS AGREEMENT (the Agreement)** is made by and between the State of Texas, acting by and through the Texas Department of Transportation hereinafter called the "State", and Hays County, acting by and through its duly authorized officials, hereinafter called the "Local Government."

**WITNESSETH**

**WHEREAS**, federal law establishes federally funded programs for transportation improvements to implement its public purposes; and

**WHEREAS**, the Texas Transportation Code, Sections 201.103 and 222.052 establish that the State shall design, construct and operate a system of highways in cooperation with local governments; and

**WHEREAS**, federal and state laws require local governments to meet certain contract standards relating to the management and administration of State and federal funds; and

**WHEREAS**, the Texas Transportation Commission passed Minute Order 111716, authorizing the State to undertake and complete a highway improvement generally described as construct bridge and approaches; and,

**WHEREAS**, the Governing Body of the Local Government has approved entering into this Agreement by resolution or ordinance dated SEPTEMBER 15, 2009, which is attached hereto and made a part hereof as Attachment A for construction of the overpass on I-35 at Cement Plant Road and its approaches at the location shown on the Map in Attachment B hereinafter referred to as the Project.

**NOW, THEREFORE**, in consideration of the premises and of the mutual covenants and agreements of the parties hereto, to be by them respectively kept and performed as hereinafter set forth, it is agreed as follows:

## AGREEMENT

### 1. Period of the Agreement

This Agreement becomes effective when signed by the last party whose signing makes the Agreement fully executed. This Agreement shall remain in effect until the Project is completed or unless terminated as provided below.

### 2. Scope of Work

The scope of work is to construct the I-35 at Cement Plant Road Bridge and approaches as shown at the location shown on Attachment B.

### 3. Local Project Sources and Uses of Funds

- a. The total estimated cost of the Project is shown in the Project Budget - Attachment C which is attached hereto and made a part hereof. The expected cash contributions from the federal or State government, the Local Governments, or other parties is shown in Attachment C. The State will pay for only those project costs that have been approved by the Texas Transportation Commission. The State and the Federal Government will not reimburse the Local Government for any work performed before the issuance of a formal Letter of Authority by the Federal Highway Administration. The Local Government is responsible for 100% of the cost of any work performed under its direction or control before the federal Letter of Authority is formally issued.

If the Local Government will perform any work under this contract for which reimbursement will be provided by or through the State, the Local Government must complete training before a letter of authority is issued. Training is complete when at least one individual who is working actively and directly on the Project successfully completes and receives a certificate for the course entitled *Local Government Project Procedures Qualification for the Texas Department of Transportation*. The Local Government shall provide the certificate of qualification to the State. The individual who receives the training certificate may be an employee of the Local Government or an employee of a firm that has been contracted by the Local Government to perform oversight of the Project. The State in its discretion may deny reimbursement if the Local Government has not designated a qualified individual to oversee the Project.

- b. This project cost estimate shows how necessary resources for completing the project will be provided by major cost categories. These categories may include but are not limited to: (1) costs of real property; (2) costs of utility work; (3) costs of environmental assessment and remediation; (4) cost of preliminary engineering and design; (5) cost of construction and construction management; and (6) any other local project costs.
- c. The State will be responsible for securing the Federal and State share of the funding required for the development and construction of the local project. If the Local Government is due funds for expenses incurred, these funds will be reimbursed to the Local Government on a cost basis.
- d. The Local Government will be responsible for all non-federal or non-State participation costs associated with the Project, including any overruns in excess of the approved



- local project budget unless otherwise provided for in this Agreement or approved otherwise in an amendment to this Agreement.
- e. Prior to the performance of any engineering review work by the State, the Local Government will pay to the State the amount specified in Attachment C. At a minimum, this amount shall equal the Local Government's funding share for the estimated cost of preliminary engineering for the project. At least sixty (60) days prior to the date set for receipt of the construction bids, the Local Government shall remit its remaining financial share for the State's estimated construction oversight and construction cost.
  - f. In the event that the State determines that additional funding by the Local Government is required at any time during the Project, the State will notify the Local Government in writing. The Local Government shall make payment to the State within thirty (30) days from receipt of the State's written notification.
  - g. Whenever funds are paid by the Local Government to the State under this Agreement, the Local Government shall remit a check or warrant made payable to the "Texas Department of Transportation Trust Fund." The check or warrant shall be deposited by the State in an escrow account to be managed by the State. Funds in the escrow account may only be applied to the State Project.
  - h. Upon completion of the Project, the State will perform an audit of the Project costs. Any funds due by the Local Government, the State, or the Federal government will be promptly paid by the owing party. If, after final Project accounting, excess funds remain in the escrow account, those funds may be applied by the State to the Local Government's contractual obligations to the State under another advance funding agreement.
  - i. The State will not pay interest on any funds provided by the Local Government.
  - j. If a waiver has been granted, the State will not charge the Local Government for the indirect costs the State incurs on the local project, unless this Agreement is terminated at the request of the Local Government prior to completion of the project.
  - k. If the project has been approved for a "fixed price" or an "incremental payment" non-standard funding or payment arrangement under 43 TAC §15.52, the budget in Attachment C will clearly state the amount of the fixed price or the incremental payment schedule.
  - l. If the Local government is an Economically Disadvantaged County and if the State has approved adjustments to the standard financing arrangement, this Agreement reflects those adjustments.
  - m. The state auditor may conduct an audit or investigation of any entity receiving funds from the state directly under the contract or indirectly through a subcontract under the contract. Acceptance of funds directly under the contract or indirectly through a subcontract under this contract acts as acceptance of the authority of the state auditor, under the direction of the legislative audit committee, to conduct an audit or investigation in connection with those funds. An entity that is the subject of an audit or investigation must provide the state auditor with access to any information the state auditor considers relevant to the investigation or audit.
  - n. Payment under this contract beyond the end of the current fiscal biennium is subject to availability of appropriated funds. If funds are not appropriated, this contract shall be terminated immediately with no liability to either party.

The State will not execute the contract for the construction of the project until the required funding has been made available by the Local Government in accordance with this Agreement.

**4. Termination of this Agreement**

This Agreement shall remain in effect until the project is completed and accepted by all parties, unless:

- a. the Agreement is terminated in writing with the mutual consent of the parties;
- b. the Agreement is terminated by one party because of a breach, in which case any cost incurred because of the breach shall be paid by the breaching party; or
- c. the Local Government elects not to provide funding after the completion of preliminary engineering, specifications and estimates (PS&E) and the Project does not proceed because of insufficient funds, in which case the Local Government agrees to reimburse the State for its reasonable actual costs incurred during the Project.

**5. Amendments**

Amendments to this Agreement due to changes in the character of the work or terms of the Agreement, or responsibilities of the parties relating to the Project may be enacted through a mutually agreed upon, written amendment.

**6. Remedies**

This Agreement shall not be considered as specifying the exclusive remedy for any agreement default, but all remedies existing at law and in equity may be availed of by either party to this Agreement and shall be cumulative.

**7. Utilities**

The State shall be responsible for the adjustment, removal, or relocation of utility facilities.

**8. Environmental Assessment and Mitigation**

Development of a transportation project must comply with the National Environmental Policy Act and the National Historic Preservation Act of 1966, which require environmental clearance of federal-aid projects.

The State shall prepare the appropriate environmental documentation and secure environmental clearance for the Project.

**9. Compliance with Texas Accessibility Standards and ADA**

All parties to this Agreement shall ensure that the plans for and the construction of all projects subject to this Agreement are in compliance with the Texas Accessibility Standards (TAS) issued by the Texas Department of Licensing and Regulation, under the Architectural Barriers Act, Article 9102, Texas Civil Statutes. The TAS establishes minimum accessibility requirements to be consistent with minimum accessibility requirements of the Americans with Disabilities Act (P.L. 101-336) (ADA).

**10. Architectural and Engineering Services**

The State has responsibility for the performance of architectural and engineering services.

The PS&E shall be prepared in accordance with all applicable laws, policies and regulations, deemed necessary by the State.

The design shall conform to the criteria in the State's *Roadway Design Manual and the Manual on Uniform Traffic Control Devices* and the engineering plans shall be developed in accordance with the current edition of the *Standard Specifications for Construction and Maintenance of Highways, Streets, and Bridges* and the Texas Accessibility Standards.

In procuring professional services, the parties to this Agreement must comply with federal requirements cited in 23 CFR Part 172 if the project is federally funded and with Texas Government Code 2254, Subchapter A, in all cases.

Professional services contracts for federally funded projects must conform to federal requirements, specifically including the provision for participation by Disadvantaged Business Enterprises (DBEs), ADA, and environmental matters.

#### **11. Construction Responsibilities**

- a. The State shall advertise for construction bids, issue bid proposals, receive and tabulate the bids and award and administer the contract for construction of the Project. Administration of the contract includes the responsibility for construction engineering and for issuance of any change orders, supplemental agreements, amendments, or additional work orders, which may become necessary subsequent to the award of the construction contract. In order to ensure federal funding eligibility, projects must be authorized by the State prior to advertising for construction.
- b. The State will use its approved contract letting and award procedures to let and award the construction contract.
- c. Prior to their execution, the Local Government will be given the opportunity to review and comment on contract change orders that will result in an increase cost of more than 10% to the Local Government over the Project Agreement (Low Bid) amount. Response to the change order by the Local Government will be within 5 business days of the notification by TxDOT.
- d. The State shall provide a monthly status of construction costs to the Local Government.
- e. Upon completion of the Project, the party constructing the project will issue and sign a "Notification of Completion" acknowledging the Project's construction completion.
- f. For federally funded contracts, the parties to this Agreement will comply with federal construction requirements cited in 23 CFR Part 635 and with requirements cited in 23 CFR Part 633, and shall include the latest version of Form "FHWA-1273" in the contract bidding documents. If force account work will be performed, a finding of cost effectiveness shall be made in compliance with 23 CFR 635, Subpart B.

#### **12. Project Maintenance**

The Local Government shall be responsible for maintenance of locally owned roads after completion of the work and the State shall be responsible for maintenance of state highway system after completion of the work if the work was on the state highway system, unless otherwise provided for in existing maintenance agreements with the Local Government.

**13. Right of Way and Real Property**

The Local Government is responsible for the provision and acquisition of any needed right of way or real property.

**14. Notices**

All notices to either party by the other required under this Agreement shall be delivered personally or sent by certified or U.S. mail, postage prepaid, addressed to such party at the following addresses:

<b>Local Government:</b>	<b>State:</b>
Hays County Judge 111 E. San Antonio, #300 San Marcos, Texas 78666	Austin District Engineer P.O. Drawer 15426 Austin, Texas 78761-5426

All notices shall be deemed given on the date so delivered or so deposited in the mail, unless otherwise provided herein. Either party may change the above address by sending written notice of the change to the other party.

Either party may request in writing that such notices shall be delivered personally or by certified U.S. mail and such request shall be honored and carried out by the other party.

**15. Legal Construction**

If one or more of the provisions contained in this Agreement shall for any reason be held invalid, illegal or unenforceable in any respect, such invalidity, illegality or unenforceability shall not affect any other provisions and this Agreement shall be construed as if it did not contain the invalid, illegal or unenforceable provision.

**16. Responsibilities of the Parties**

The State and the Local Government agree that neither party is an agent, servant, or employee of the other party and each party agrees it is responsible for its individual acts and deeds as well as the acts and deeds of its contractors, employees, representatives, and agents.

**17. Ownership of Documents**

Upon completion or termination of this Agreement, all documents prepared by the State shall remain the property of the State. All data prepared under this Agreement shall be made available to the State without restriction or limitation on their further use. All documents produced or approved or otherwise created by the Local Government shall be transmitted to the State in the form of photocopy reproduction on a monthly basis as required by the State. The originals shall remain the property of the Local Government. At the request of the State, the Local Government shall submit any information required by the State in the format directed by the State.

**18. Compliance with Laws**

The parties shall comply with all Federal, State, and Local laws, statutes, ordinances, rules and regulations, and the orders and decrees of any courts or administrative bodies or tribunals in any manner affecting the performance of this Agreement. When required, the Local Government shall furnish the State with satisfactory proof of this compliance.

**19. Sole Agreement**

This Agreement constitutes the sole and only agreement between the parties and supersedes any prior understandings or written or oral agreements respecting the Agreement's subject matter.

**20. Cost Principles**

In order to be reimbursed with federal funds, the parties shall comply with the Cost Principles established in OMB Circular A-87 that specify that all reimbursed costs are allowable, reasonable and allocable to the Project.

**21. Procurement and Property Management Standards**

The parties shall adhere to the procurement standards established in Title 49 CFR §18.36 and with the property management standard established in Title 49 CFR §18.32.

**22. Inspection of Books and Records**

The parties to this Agreement shall maintain all books, documents, papers, accounting records and other documentation relating to costs incurred under this Agreement and shall make such materials available to the State, the Local Government, and, if federally funded, the Federal Highway Administration (FHWA), and the U.S. Office of the Inspector General, or their duly authorized representatives for review and inspection at its office during the contract period and for four (4) years from the date of completion of work defined under this contract or until any impending litigation, or claims are resolved. Additionally, the State, the Local Government, and the FHWA and their duly authorized representatives shall have access to all the governmental records that are directly applicable to this Agreement for the purpose of making audits, examinations, excerpts, and transcriptions.

Whenever American Recovery and Reinvestment Act of 2009 (ARRA) funds are used and the Local Government is performing any work, either directly or through a contractor, it must comply with the following provisions. If a Local Government is receiving ARRA funds, but is not performing any work, the following provisions apply, if appropriate, and to the extent necessary to comply with ARRA regulations.

In accordance with Section 902 ARRA, should this agreement involve the expenditure of ARRA funds, then the U.S. Comptroller General and its representatives shall have the authority to:

- a. examine any records of the contractor or any of its subcontractors, or any State or local agency administering such contract, that directly pertain to, and involve transactions relating to the contract or subcontract; and
- b. interview any officer or employee of the contractor or any of its subcontractors, or any State or local agency administering the contract regarding such contracts.

Nothing in the section previously mentioned shall be interpreted to limit or restrict in any way the existing authority of the Comptroller General.

In accordance with Section 1515(a) of the ARRA, with respect to each contract or grant awarded using covered funds, any representative of an appropriate inspector general

CSJ #0016-02-120  
District # 14  
Code Chart 64 #50106  
I-35: Cement Plant Road Overpass  
CFDA # 20.205

appointed under Section 3 or 8G of the Inspector General Act of 1978 (5 U.S.C. App.), is authorized:

- a. to examine any records of the contractor or grantee, any of its subcontractors or subgrantees, or any State or local agency administering such contract that pertain to and involve transactions relating to the contract, subcontract, grant, or subgrant; and
- b. to interview any officer or employee of the contractor, grantee or subgrantee, or agency regarding such transactions.

Section 1515(b) further provides that nothing in the section previously mentioned shall be interpreted to limit or restrict in any way the existing authority of an inspector general.

The ARRA requires that the Contractor report monthly employment information for its firm as well as that of all of its subcontractors. The Contractor, similarly, shall include this reporting requirement in all of its subcontracts. Failing to include the requirement in agreements with subcontractors can serve as grounds for contract termination.

Form FHWA-1589, Monthly Employment Report, promulgated by the Federal Highway Administration (FHWA), captures the necessary monthly employment information and shall be submitted by the Contractor on a regular basis to the LG (Local Government). It is the responsibility of the LG to obtain this form from the prime Contractor and any subcontractors and, the LG shall verify the accuracy, completeness, and reasonableness of the data contained in the form. The LG shall ensure that this form is submitted by the LG to the State according to the policies and at the direction of the State.

In order to meet any other FHWA and ARRA reporting requirements, the LG shall provide to the State all information requested by the State, including data or information in possession of contractors and subcontractors for completing other necessary reporting forms, and the information shall be submitted in the manner required and according to all due dates as set by the State.

Furthermore, the ARRA mandates that the U.S. Comptroller General's Office shall have authority to examine the records of the contractor, subcontractor, or local agency relating to the project at any time.

### **23. Office of Management and Budget (OMB) Audit Requirements**

The parties shall comply with the requirements of the Single Audit Act of 1984, P.L. 98-502, ensuring that the single audit report includes the coverage stipulated in OMB Circular A-133.

Whenever funds from the American ARRA are distributed to a Local Government, the Local Government must complete its Schedule of Expenditures of Federal Awards (SEFA) and the Data Collection Form (SF-SAC), as required by OMB Circular A-133, and separately identify any ARRA expenditures for Federal Awards.

**24. Civil Rights Compliance**

The Local Government shall comply with the regulations of the Department of Transportation as they relate to nondiscrimination (49 CFR Chapter 21 and 23 CFR §710.405(B)), and Executive Order 11246 titled "Equal Employment Opportunity," as amended by Executive Order 11375 and supplemented in the Department of Labor Regulations (41 CFR Part 60).

**25. Disadvantaged Business Enterprise Program Requirements**

The parties shall comply with the Disadvantaged/Minority Business Enterprise Program requirements established in 49 CFR Part 26.

**26. Debarment Certifications**

The parties are prohibited from making any award at any tier to any party that is debarred or suspended or otherwise excluded from or ineligible for participation in Federal Assistance Programs under Executive Order 12549, "Debarment and Suspension." By executing this agreement, the [Contractor, Local Government, Engineer, or whatever] certifies that it is not currently debarred, suspended, or otherwise excluded from or ineligible for participation in Federal Assistance Programs under Executive Order 12549. The parties to this contract shall require any party to a subcontract or purchase order awarded under this contract to certify its eligibility to receive Federal funds and, when requested by the State, to furnish a copy of the certification.

**27. Lobbying Certification**

In executing this Agreement, the signatories certify to the best of his or her knowledge and belief, that:

- a. No federal appropriated funds have been paid or will be paid by or on behalf of the parties to any person for influencing or attempting to influence an officer or employee of any federal agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any federal contract, the making of any federal grant, the making of any federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any federal contract, grant, loan, or cooperative agreement.
- b. If any funds other than federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with federal contracts, grants, loans, or cooperative agreements, the signatory for the Local Government shall complete and submit the federal Standard Form-LLL, "Disclosure Form to Report Lobbying," in accordance with its instructions.
- c. The parties shall require that the language of this certification be included in the award documents for all subawards at all tiers (including subcontracts, subgrants, and contracts under grants, loans, and cooperative agreements) and that all subrecipients shall certify and disclose accordingly.

By executing this Agreement, the parties affirm this lobbying certification with respect to the individual projects and affirm this certification of the material representation of facts

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upon which reliance will be made. Submission of this certification is a prerequisite for making or entering into this transaction imposed by Title 31 U.S.C. §1352.

Any person who fails to file the required certification shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.

**28. Insurance**

If this agreement authorizes the Local Government or its contractor to perform any work on State right of way, before beginning work the entity performing the work shall provide the State with a fully executed copy of the State's Form 1560 Certificate of Insurance verifying the existence of coverage in the amounts and types specified on the Certificate of Insurance for all persons and entities working on State right of way. This coverage shall be maintained until all work on the State right of way is complete. If coverage is not maintained, all work on State right of way shall cease immediately, and the State may recover damages and all costs of completing the work.

**29. Signatory Warranty**

The signatories to this Agreement warrant that each has the authority to enter into this Agreement on behalf of the party represented.

**IN TESTIMONY HEREOF**, the parties hereto have caused these presents to be executed in duplicate counterparts.

**THE LOCAL GOVERNMENT**

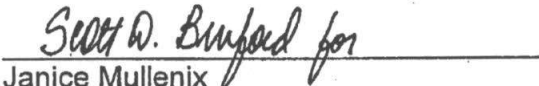
  
Name \_\_\_\_\_

Elizabeth Sumter Hays County Judge  
Printed Name and Title

SEP 15 2009  
Date

**THE STATE OF TEXAS**

Executed for the Executive Director and approved for the Texas Transportation Commission for the purpose and effect of activating and/or carrying out the orders, established policies or work programs heretofore approved and authorized by the Texas Transportation Commission.

  
Janice Mullenix  
Director of Contract Services  
Texas Department of Transportation

9/24/09  
Date



CSJ #0016-02-120  
District # 14  
Code Chart 64 #50106  
I-35: Cement Plant Road Overpass  
CFDA # 20.205

**ATTACHMENT A**

**Resolution or Ordinance**



CERTIFIED COPY OF HAYS COUNTY COMMISSIONERS' COURT MINUTES

\*\*\*\*\*

STATE OF TEXAS \*
COUNTY OF HAYS \*

ON THIS THE 15TH DAY OF SEPTEMBER A.D., 2009, THE COMMISSIONERS' COURT OF HAYS COUNTY, TEXAS, MET IN REGULAR MEETING. THE FOLLOWING MEMBERS WERE PRESENT, TO-WIT:

ELIZABETH "LIZ" SUMTER COUNTY JUDGE
DEBBIE GONZALES INGALSBE COMMISSIONER, PCT. 1
JEFFERSON W. BARTON COMMISSIONER, PCT. 2
WILL CONLEY COMMISSIONER, PCT. 3
KAREN FORD COMMISSIONER, PCT. 4
LINDA C. FRITSCHÉ COUNTY CLERK

AND THE FOLLOWING PROCEEDINGS WERE HAD, THAT IS:

26465 AUTHORIZE THE COUNTY JUDGE TO EXECUTE THE ADVANCED FUNDING AGREEMENTS FOR CSJ #0016-02-120, IH-35 AT CEMENT PLANT ROAD OVERPASS; CSJ #0471-02-064, SH21 AT FM2001; AND CSJ#0471-02-063, SH21 AT RHODE LANE WITH THE TEXAS DEPARTMENT OF TRANSPORTATION [T1-1200]

Commissioner Barton spoke of possible changes that could be made in the future to these projects. He spoke of FHWA funding. A motion was made by Commissioner Barton, seconded by Commissioner Ingalsbe to authorize the County Judge to execute the Advanced Funding Agreements for CSJ #0016-02-120, IH-35 at Cement Plant Road Overpass, CSJ #0471-02-064, SH21 at FM2001; and CSJ #0471-02-063, SH21 At Rhode Lane with the Texas Department of Transportation court discussed the potential need in the future to amend these contracts and any final work on Rhode Lane at SH21 would be contingent upon an Interlocal Agreement with Caldwell County work would not commence until we have an Agreement with Caldwell County. All voting "Aye". MOTION PASSED

THE STATE OF TEXAS
COUNTY OF HAYS

I, Linda C. Fritsche, County Clerk and Ex-Officio Clerk of the Commissioners' Court of Hays County, Texas, do hereby certify that the following contains a true and correct copy of the minutes of SEPTEMBER 15, 2009 under Resolution #26465 in the Commissioners' Court Minutes of Hays County, Texas:

Given under my hand and seal of office at San Marcos, Texas this the 17TH day of SEPTEMBER, 2009.

LINDA C. FRITSCHÉ, COUNTY CLERK AND EXOFFICIO CLERK OF THE HAYS COUNTY COMMISSIONERS' COURT

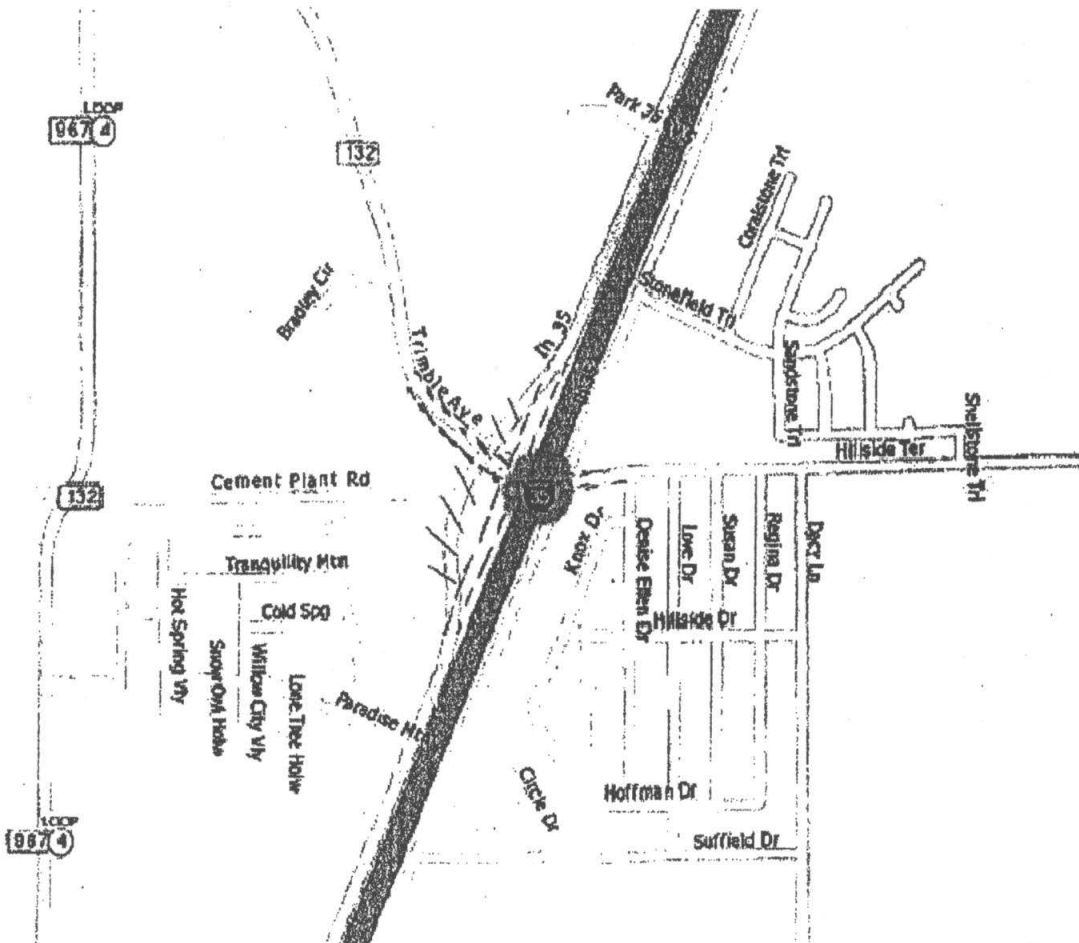
BY [Signature] DEPUTY



CSJ #0016-02-120  
District # 14  
Code Chart 64 #50106  
I-35: Cement Plant Road Overpass  
CFDA # 20.205

## ATTACHMENT B

### Location Map Showing Project



**ATTACHMENT C**

**Budget and Description**

The Local Government will participate in the cost of the construction of the Cement Plant Road Bridge and approaches across I-35. The American Recovery and Reinvestment Act of 2009 funding (ARRA) will be applied first to the construction cost of the project to the maximum participation amount of \$7,250,000 for construction bid items. The Local Government will be responsible for all non-federal or non-State participation costs associated with the Project, including any overruns in excess of the approved federal maximum participation amount. The State has estimated the project to be as follows:

Description	Total Estimate Cost	Federal Participation		State Participation		Local Participation	
		%	Cost	%	Cost	%	Cost
<b>CONSTRUCTION COSTS</b>							
Construction of I-35 at Cement Plant Road Overpass and Approaches	\$ 7,250,000	ARRA	\$ 7,250,000		\$0		\$0
Subtotal	\$7,250,000		\$7,250,000		\$0		\$0
Direct State Costs (including plan review, inspection and oversight)	\$0		\$0		\$0		\$0
Indirect State Costs (no local participation required except for service projects)	\$0		\$0		\$0		\$0
<b>TOTAL</b>	<b>\$7,250,000</b>		<b>\$7,250,000</b>		<b>\$0</b>		<b>\$0</b>

**Direct State Cost will not be charged.**

It is further understood that the State will include only those items for the improvements as requested and required by the Local Government. This is an estimate only; final participation amounts will be based on actual charges to the project.